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Relationships between in vivo dynamic knee joint loading, static alignment and tibial subchondral bone microarchitecture in end-stage knee osteoarthritis

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Running title:
Knee joint loading and bone microarchitecture
Abstract

Objective: To study, in end-stage knee osteoarthritis (OA) patients, relationships between indices of \textit{in vivo} dynamic knee joint loads obtained pre-operatively using gait analysis, static knee alignment, and the subchondral trabecular bone (STB) microarchitecture of their excised tibial plateau quantified with 3D micro-CT.

Design: Twenty-five knee OA patients scheduled for total knee arthroplasty underwent pre-operative gait analysis. Mechanical axis deviation (MAD) was determined radiographically. Following surgery, excised tibial plateaus were micro-CT-scanned and STB microarchitecture analysed in four subregions (anteromedial, posteromedial, anterolateral, posterolateral). Regional differences in STB microarchitecture and relationships between joint loading and microarchitecture were examined.

Results: STB microarchitecture differed among subregions (p<0.001), anteromedially exhibiting highest bone volume fraction (BV/TV) and lowest structure model index (SMI). Anteromedial BV/TV and SMI correlated strongest with peak external rotation moments (ERM; r=-0.74, r=0.67, p<0.01), despite ERM being the lowest (by factor of 10) of the moments considered, with majority of ERM measures below accuracy thresholds; medial-to-lateral BV/TV ratios correlated with ERM, MAD, and knee adduction (KAM) and internal rotation moments (|r|-range: 0.54-0.74). When controlling for walking speed, KAM and MAD, the ERM explained additional 11-30\% of the variations in anteromedial BV/TV and medial-to-lateral BV/TV ratio (R^2=0.59, R^2=0.69, p<0.01).

Conclusions: This preliminary study suggests significant associations between tibial plateau STB microarchitecture and knee joint loading indices in end-stage knee OA patients. Particularly, anteromedial BV/TV correlates strongest with ERM, whereas medial-to-lateral BV/TV ratio correlates strongest with indicators of medial-to-lateral joint loading (MAD, KAM) and rotational moments. However, associations with ERM should be interpreted with caution.
Keywords

knee osteoarthritis, gait biomechanics, micro-CT, subchondral trabecular bone, bone microarchitecture
1. Introduction

Knee osteoarthritis (OA) is a debilitating disease affecting all tissues within the joint, including bone. The subchondral bone is a mechanical shock absorber, protecting the overlying articular cartilage from excessive joint loads\(^1\). The compromised integrity of subchondral bone plays an important role in the onset and progression of the disease\(^{1,2}\). In prospective studies, abnormal joint biomechanics that is common with knee OA\(^3,4\), has been associated with rate of radiographic disease progression\(^5,6\), while in cross-sectional studies, it has been linked with variations to joint structures (e.g. presence of cartilage defects\(^7\), bone marrow lesions\(^8\), variations in subchondral bone area\(^7,9\) and cartilage thickness\(^10\)).

Abnormal \textit{in vivo} joint loads, indicated by frontal plane loading indices, such as knee adduction moment (KAM) measured during gait and static knee alignment from radiographs, have been associated with local variations in proximal tibia bone mineral density (BMD) and mineral content (BMC), measured by dual X-ray absorptiometry (DXA)\(^{11-13}\). DXA, however, is a two-dimensional technique which has limited spatial resolution and cannot differentiate between cortical and trabecular bone, or among different subregions within the same condyle. Furthermore, it cannot quantify bone microarchitecture, which has been shown to vary within the OA proximal tibia\(^{14-16}\).

To understand the degeneration of subchondral bone in OA, it is necessary to study its microarchitecture. However, previous studies examining subchondral bone microarchitecture in humans were restricted to thin histological slices or excised bone cores\(^{14,15}\). Nowadays, X-ray micro-computed tomography (micro-CT) allows three-dimensional (3D) structural characterization of entire bone segments including the tibial plateau, non-destructively and at high resolution\(^{16-18}\). Moreover, to the best of our knowledge, those studies exploring the bone microarchitecture, did not examine gait or \textit{in vivo} joint biomechanics data from the same patients, to investigate possible relationships between these measures. Thus, the associations between knee joint biomechanics (including the full 3D knee moments, which differ from normal in OA\(^3,4\)) and tibial subchondral trabecular bone (STB) microarchitecture in OA, in the same patient, remain to be investigated. Through a better
understanding of how joint loading is related to local variations in subchondral bone micro-
architecture in knee OA, it may be possible to better describe the role of both factors in the disease.

This study explores, in end-stage OA patients undergoing total knee arthroplasty (TKA), relationships
between indices of in vivo dynamic knee joint loads obtained pre-operatively using 3D gait analysis
(full 3D knee moments, tibiofemoral joint reaction forces), static knee alignment (mechanical axis
deviation, medial proximal tibial angle) and regional proximal tibia subchondral bone
microarchitecture of their excised knees quantified with 3D micro-CT. The objective was to
determine which biomechanical factors described the greatest variation in subregional subchondral
trabecular bone microarchitecture and distribution of the bone across the tibia plateau. We
hypothesised that the frontal plane loading indices (static alignment, peak adduction moments and
impulse), indicators of medial tibial compartment loading and medial-to-lateral distribution of
load, would be factors most strongly associated with the medial condyle STB microarchitecture and
medial-to-lateral distribution of bone in the tibia plateau.

2. Methods

2.1 Participants

Twenty-five (n=25) adult patients with end-stage knee OA, scheduled for TKA, were recruited from
the orthopaedics departments at the Royal Adelaide Hospital, Repatriation General Hospital and
Burnside War Memorial Hospital in Adelaide, Australia (Table 1). In all patients indication for
surgery was painful and symptomatic knee OA, and unsatisfactory response to non-invasive
treatments. This criteria established our operational definition of end-stage knee OA. The
radiographic (Kellgren-Lawrence) grade of the examined joints ranged from 2 (mild) to 4 (severe;
Table 2). Patients were excluded from this study if: they were unable to walk unaided for 10 m; had a
history of inflammatory arthritis; had neurological disorders that would affect walking; had severe
cardiovascular or pulmonary disease; had isolated patellofemoral knee OA; or were unable to
understand English. This study received ethics approval from the Southern Adelaide Clinical and
Royal Adelaide Hospital Human Research Ethics Committees. All patients provided written informed consent prior to their involvement.

2.2 Gait analysis

Patients underwent pre-operative gait analysis within one week prior to surgery. Three successful walking trials were collected with the patient walking, without footwear, at self-selected speed along a 10-m walkway. 3D kinematics and ground reaction force data were collected using 12 VICON MX-F20 cameras (Vicon Metrics, Oxford, UK) and four floor-embedded force platforms (2 × 9281B, Kistler Instrument Corporation, Switzerland; 2 × AMTI BP400600, Advanced Mechanical Technology Inc., USA) at 100 and 400 Hz, respectively. A set of 40 retro-reflective lower-limb markers were placed on the subject’s pelvis and lower limbs. Markers were placed over palpable anatomical landmarks to define the joints of the lower limbs, and rigid clusters of four non-collinear markers were attached to the thighs and shanks. Marker trajectories and ground reaction forces were low-pass filtered, using a zero-lag 4th order Butterworth filter with cut-off frequency of 6 and 25 Hz, respectively. The pose of the body segments was reconstructed using global optimisation. The kinematic model (details in Thewlis et al.) consisted of a pelvis, two thighs, two shanks and two feet connected by six joints with 3, 2 and 2 degrees of freedom, respectively.

Walking velocity was calculated from kinematic data. The external knee joint moments were computed using inverse dynamics following a recursive Newton-Euler method in Visual3D (V5, C-Motion Inc., USA) and expressed in the shank coordinate system. Moments, normalized to body mass (Nm/kg), were reported as the mean of the three successful trials per participant. Data were time-normalised to 101 points representing 0 to 100% of the stance phase. The knee moments included: peak knee flexion (KFM), terminal stance peak knee extension (KEM), peak knee adduction (KAM, first (KAM₁) and second (KAM₂) peaks), external (ERM) and internal rotation (IRM) moments (Fig. 1). The KAM impulse, representing the area under the adduction moment curve, was computed using the trapezoidal method across the entire stance phase. The tibiofemoral total joint reaction force
(JRF) was computed using a musculoskeletal model based on the geometry of Delp et al.\textsuperscript{27} as described in detail previously\textsuperscript{24} using MATLAB (R2013a, Mathworks, Inc., Natick, MA, USA) and normalized to body weights.

\textbf{2.3 Clinical and radiographic data (disease severity and joint alignment)}

The Western Ontario & McMaster Universities Osteoarthritis Index (WOMAC) (5 point Likert-type format) was completed by each participant during the biomechanics laboratory visit, to assess the degree of self-reported knee pain and functional limitation\textsuperscript{28}. Mechanical alignment (mechanical axis deviation (MAD), medial proximal tibial angle (MPTA)) and OA disease severity (Kellgren-Lawrence Grading\textsuperscript{29}, OARSI Atlas\textsuperscript{30}) of the affected joint, were evaluated from full-length anterior-posterior weight-bearing radiographs by an experienced examiner (LBS). MAD is defined as the perpendicular distance (in mm) from the knee joint centre to the mechanical axis, where the mechanical axis is the line connecting the centre of the femoral head to the centre of the ankle joint. Valgus alignment was defined as >0mm lateral deviation, neutral alignment between 0-15mm medial deviation and varus alignment as >15mm medial deviation\textsuperscript{31}. The MPTA is defined as the medial angle between the anatomical axis of the tibia (line from knee centre to ankle centre) and a line parallel to the tibial plateau surface.

\textbf{2.4 Micro-CT imaging and morphometric analysis}

Tibial plateaus were retrieved following TKA and fixed in 70\% ethanol solution. Specimens were scanned with a desktop micro-CT system (Skyscan 1076, Skyscan-Bruker, Kontich, Belgium) at 17.4\textmu m isotropic pixel size, source voltage 100kVp, current 90\mu A, rotation step 0.4° over 180° rotation, exposure time 590ms, 4 frames averaging and 0.5 mm-thick aluminium filter for beam hardening reduction (further details in Roberts et al.\textsuperscript{16,32}). Prior to scanning, specimens were removed from the ethanol solution and wrapped in cling-film. Scans were performed with the tibial plateau
fixed on a carbon bed, with the medial-lateral axis of each specimen aligned with the system’s rotation axis. For each specimen, 4997 consecutive cross-section images were reconstructed (86.9mm length, slice thickness one pixel (17.4µm)) using a filtered back-projection algorithm, each image 3936x3936 pixels (68.5x68.5mm) in size and saved in 8-bit grayscale format (NRecon software, v1.6.9.8, Skyscan-Bruker, Kontich, Belgium). Cross-section images were then rotated in 3D and saved with the anatomical superior-inferior axis of each plateau aligned with the z-axis of the image stack (DataViewer software, v 1.5.1.2, Skyscan-Bruker, Kontich, Belgium). In each tibial plateau image dataset, four cylindrical STB volumes of interest (VOI) were selected within the load bearing regions of the tibial condyles; each VOI was centred within the anterior or posterior halves of the medial and lateral condyles, which were defined by elliptical regions (Fig. 2a): anteromedial (AM), posteromedial (PM), anterolateral (AL) posterolateral (PL) VOI. The cylindrical VOIs contained only subchondral trabecular bone, were of diameter 10mm and minimum length 3mm (to satisfy the continuum assumption of trabecular bone), maximum 5mm, depending on the specimen. The superior surface of each VOI was subjacent to the inferior surface of the subchondral bone plate, extending distally towards the growth plate (Fig. 2b). Each STB VOI was binarised with uniform thresholding and the following morphometric parameters were calculated for each volume (software CT Analyser, v1.14.4.1): bone volume fraction (BV/TV, %), ratio of the voxels segmented as bone to the total number of voxels constituting the examined VOI; trabecular thickness (Tb.Th, mm), average 3D thickness of the trabeculae within examined VOI; trabecular separation (Tb.Sp, mm), 3D measure of the mean distance between the trabeculae within the VOI; trabecular number (Tb.N, 1/mm), the number of trabeculae per unit length; structure model index (SMI, unitless), parameter describing the ratio of rod-like to plate-like trabecular structures within examined VOI (value range: from 0 (ideal plate-like structure) to 3 (ideal rod-like structure)).

The medial (M) and lateral (L) condyle BV/TV were computed as the average BV/TV of the anterior (A) and posterior (P) VOIs within each condyle. The BV/TV ratios within each condyle (anterior-to-posterior, A:P) and between the condyles (medial-to-lateral, M:L) were also computed.
2.5 Statistics

A power analysis (G*Power 3.141) indicated that for a statistical power= 0.8 and alpha= 0.05, a minimum sample size of 17 patients would be necessary for detecting significant differences (effect size of 1 standard deviation) among STB subregions and significant associations (effect size r=0.6) between knee loading and STB microarchitectural parameters.

Differences in the five morphometric parameters (BV/TV, SMI, Tb.Th, Tb.N and Tb.Sp) among the four tibial subregions (AM, PM, AL, PL) were assessed by using five independent repeated measures ANOVA, followed by paired t-test with Bonferroni adjustment for multiple comparisons. Independent ANOVAs were conducted, instead of a single MANOVA, due to strong interrelationships among the morphometric parameters investigated (r>0.8). For each ANOVA, Bonferroni correction for 30 total comparisons (6 subregional comparisons per parameter) was applied at alpha= 0.05 (effective p-value=0.0017 for significance). STB parameters were tested for assumptions of normality and sphericity, with departures from sphericity corrected using the Greenhouse-Geisser method42.

Linear relationships between STB subregional microarchitecture parameters, BV/TV ratios, dynamic joint loads and knee alignment parameters were examined using Pearson’s correlations with subsequent Benjamini-Hochberg adjustment (false discovery rate=0.05), to control for multiple testing43. Then, to control for potentially confounding variables that influence the medial JRF or the medial-to-lateral load distribution, multiple linear regression analysis was performed, for predicting AM BV/TV or M:L BV/TV ratios, respectively. The ERM, which was the loading index most strongly correlated with the dependent variables (AM BV/TV and M:L BV/TV ratio), was forward entered into multiple regression models, considering walking speed, KAM1, and MAD as covariates19,20,44. STB microarchitecture and joint loading parameters were tested for assumptions of normality (Shapiro-Wilks test), homogeneity of variance (Levene’s test), linearity, multicollinearity (variance inflation factor) and homoscedasticity (scatter plot of residuals). The significance level was...
set to \( p < 0.05 \). Statistical analysis was performed using SPSS Statistics 22 (IBM Corp., Armonk, NY, USA).

A secondary analysis (Supplementary Materials) was performed, subdividing the cohort in two subgroups: one with neutrally to varus-aligned joints (constituting the “neutral-varus” group, MAD > 0 mm) and one with valgus-aligned joints (MAD < 0 mm)\(^ {31} \). The neutral-varus subgroup enables comparison with previous literature, as relationships between joint loading and proximal tibial BMD were exclusively explored in medial knee OA patients\(^ {11,13} \), whereas relationships for valgus subgroup, to the best of our knowledge, are reported for the first time.

### 3. Results

Patient characteristics, radiographic features and gait data are reported in Table 1, Table 2 and Fig. 1, respectively. Of the 25 patients examined, 15 exhibited varus, three neutral and seven valgus joint alignment (Table 1). For the secondary analysis (Supplementary Materials for more details), the neutral and the varus patients whom all presented with medial knee OA were then merged, constituting the “neutral-varus” subgroup (\( n = 18 \)). Two VOIs (one PM and one PL VOI from separate patients) were excluded from analysis, as these VOIs were too thin (VOI height < 3 mm).

#### 3.1 Tibial subchondral trabecular bone microarchitecture

In the entire OA cohort, significant differences (ANOVA, \( p < 0.001 \)) in bone morphometric parameters were found among the four anatomical VOIs (Fig. 3). The AM VOI had the highest BV/TV and Tb.N (up to +75% [45%,104%] (mean difference [95% confidence interval] and +41% [22%,59%], respectively) and lowest SMI (up to -69% [-36%,-68%]) compared with the other regions, with largest differences to the AL VOI (Fig. 2c,d). AM Tb.Th was higher (up to +26% [16%,36%]) and AM Tb.Sp lower (up to -25% [-15%,-35%]) compared with the AL and PL VOIs. STB microarchitecture did not significantly differ between the AL and PL VOIs, in any parameter.
3.2 Relationships between knee joint loading and tibial subchondral trabecular bone microarchitecture

Indices of joint loading were significantly correlated with regional tibial 3D microarchitectural parameters (Fig. 4). Among these, ERM was most strongly correlated with medial STB microarchitecture, negatively with AM BV/TV ($r=-0.74 \ [-0.48, -0.88]$, Fig. 5a), $M_{BV/TV}$ ($r=-0.69 \ [-0.40, -0.85]$) and positively with the AM SMI ($r=0.67 \ [0.38, 0.84]$). MAD correlated significantly with lateral STB microarchitecture, most strongly with BV/TV (PL, $r=-0.71 \ [-0.40, -0.87]$, Fig. 5b; L, $r=-0.71 \ [-0.41, -0.87]$, AL, $r=-0.68 \ [-0.36, -0.85]$). Remaining loading indices were weaker and not significantly associated with any microarchitectural parameter, except for KEM which correlated with AL Tb.Sp and Tb.N ($r=0.72 \ [0.45, 0.87]$, and $r=-0.57 \ [-0.22, -0.78]$, respectively).

3.3. Relationships between knee joint loading and tibial BV/TV ratios among subregions

Indices of knee joint loading significantly correlated with BV/TV ratios among subregions (Fig. 4). Medial-to-lateral BV/TV ratios (M:L, AM:PL, PM:AL and PM:PL ratios) were most strongly associated, negatively with ERM and positively with MAD. The strongest correlations were “M:L BV/TV vs. ERM” ($r=-0.74 \ [-0.48, -0.88]$, Fig. 5c) and “M:L BV/TV vs. MAD” ($r=0.74 \ [0.45, 0.88]$, Fig. 5d); for all other ratios, $|r|$-range: 0.57–0.71, $p<0.05$ for all). The M:L BV/TV ratio was also significantly associated with, in order of descending strength, the KAM$_1$, KAM, KAM$_2$, IRM and KAM impulse ($|r|$-range: 0.54–0.60). No significant associations were observed between measures of joint loading and anterior-to-posterior (AM:PM, AL:PL) BV/TV ratios.

3.4 Stepwise Multiple Linear Regression Analysis
ERM entered all regression models for prediction of AM BV/TV or M:L BV/TV ratio, after controlling for walking speed, KAM₁ and MAD (Table 3). The ERM explained additional 26-30% of the variation in AM BV/TV (final model: walking speed, MAD, KAM, ERM, adjusted $R^2=0.59$, $p=0.001$) and additional 11% in M:L BV/TV ratio (final model: MAD, KAM, ERM, adjusted $R^2=0.69$, $p<0.0005$), compared to these regression model without ERM (adjusted $R^2=0.27$ and adjusted $R^2=0.53$, respectively). One patient, assessed against the standardized residuals, leverage and Cook’s Distance, was considered influential and thus was removed from each regression model.

Multicollinearity was considered a minor problem, despite strong association between KAM₁ and MAD ($r=-0.83$, Supplementary Material), as variance inflation factor was < 4.4 for all models.45

4. Discussion

This exploratory study performed, on the same patient, a combination of 3D gait analysis and micro-CT imaging to investigate relationships between knee joint loading indices and subregional measurements of proximal tibial STB microarchitecture in end-stage knee OA. STB microarchitecture differed significantly among condylar subregions, with highest BV/TV and more plate-like structure anteromedially. The STB microarchitecture in the medial condyle, particularly in the AM compartment, was most strongly associated with ERM during early stance, whereas laterally it was most strongly associated with MAD. The M:L BV/TV subregional ratios were also significantly and most strongly associated with ERM and MAD, followed by KAM indices and IRM. ERM explained additional variation in AM BV/TV and M:L BV/TV ratio when controlling for KAM₁ and MAD in multiple linear regression models. However, one might consider the possibility that the associations with ERM could be an artefact of the cross-sectional study design, since ERM was an order of magnitude lower than other moments examined, and that the majority of ERM measures were below the threshold of accuracy.

Frontal plane loading indices were associated with the M:L BV/TV ratio, most strongly with static alignment (MAD), followed by associations with KAM₁, KAM₂ and KAM impulse; these findings are
consistent with previous reports on associations between knee loads and DXA-measures of proximal
tibia BMD ratios (analogous with the BV/TV ratios here)\textsuperscript{11,13}. The MAD was also the parameter most
strongly correlated with lateral STB microarchitecture, particularly with AL and PL BV/TV, Tb.Th
and Tb.N. The stronger associations “MAD vs. M:L BV/TV” compared with “KAM vs. M:L BV/TV”
are consistent with previous findings using BMD\textsuperscript{13}. However, M:L BV/TV ratio correlated stronger
with peak KAM indices (discrete measures of loading) than with the KAM impulse (a cumulative
measure of load during stance), which is different to what has been found previously\textsuperscript{13}. Overall, all the
associations reported herein between joint loading indices and measures of bone quantity were
stronger (|r|-range: 0.54-0.74) compared with previously published work in patients with medial knee
OA (|r|-range: 0.30-0.53)\textsuperscript{11,13}. Importantly, the present study differs from previous work by employing
micro-CT rather than DXA, permitting examination of the STB microarchitecture in specific
subregions of the proximal tibia, where microarchitectural differences with OA are most evident and
hence could, in part, explain the stronger associations\textsuperscript{14}.

Peak rotational moments were strongly associated with subregional STB microarchitecture for “ERM
vs. AM (and M) BV/TV” and “ERM vs. AM SMI”, with a positive and negative sign, respectively;
anteromedially being the anatomical location where BV/TV was highest and SMI lowest in the
present OA series. Furthermore, ERM was the dynamic loading parameter most strongly associated
with M:L BV/TV ratio overall (same strength as the static loading index MAD); the internal rotational
moment correlated also significantly (“IRM vs. M:L BV/TV”), however, weaker. Interestingly, in a
multiple regression model, the ERM explained additional variation in the AM BV/TV and M:L
BV/TV ratio, when controlling for walking speed, KAM, and MAD, parameters that influence tibial
JRF\textsuperscript{19}. In OA patients, gait studies have documented lower\textsuperscript{3,46}, or non-statistically different ERM,
compared to controls\textsuperscript{47}; further, no significant changes in ERM were observed in OA following
surgical intervention (high tibial osteotomy)\textsuperscript{48,49}. However, its association with variations in knee bone
structure, had not yet been explored. Hence, the significance of rotational moments to overall loading
at the knee joint remains currently uncertain. We acknowledge the relatively poor measurement
reliability in these transverse plane loading indices; it is unclear, given their low magnitude, whether
The rotational moments observed (Fig. 5a,c) are within measurement accuracy thresholds. This limitation possibly accounting for discrepancies among studies\textsuperscript{46,48,50}. Further, results on rotational moments should be considered within this context. If confirmed, these findings could suggest that the rotational moments during early stance may be useful parameters for describing variations in the STB bone across the tibial plateau, beyond frontal loading indices. Further, it supports previous evidence that this early period of stance, characterized by changes in joint function in OA (e.g. increased muscle co-activity\textsuperscript{51}, joint stiffness\textsuperscript{52}), is important in disease pathomechanics.

Finally, the JRF was not significantly associated neither with subregional STB microarchitecture, nor with BV/TV subregional ratios. One reason for this absence of significant associations may be due to the used musculoskeletal model computing the overall JRF, rather than medial or lateral condyle-specific JRF, hence not giving a measure of the M:L load distribution. Furthermore, the model assumes non-pathological muscle activation patterns, thus not accounting for differences in loading that may be due to variations in muscle activity in knee OA\textsuperscript{53}.

The scientific literature suggests that beside bone density (BV/TV), subchondral bone microarchitecture (including SMI) varies in human knee OA, depending on stage of the disease\textsuperscript{14,54} and joint alignment\textsuperscript{16}. In early OA (mouse models), subchondral bone erosion (decreased BV/TV values and more rod-like structures compared to baseline) has been reported, whereas in late OA (in mice and in human OA), trabecular bone thickening with sclerosis (very high BV/TV values) and more plate-like structures, particularly in the medial condyle, has been observed\textsuperscript{14,55}. However, no human gait analysis was performed in these studies. Hence, to the best of the authors’ knowledge, this study is the first to explore associations between peak moments and variations in joint bone microarchitecture in the same patient.

The results presented should be interpreted within the limitations of this study. A major limitation was the small sample size, given the many associations examined. Benjamini-Hochberg correction was applied, to account for multiple testing. Given we allow for a false discovery rate of 5% (Type I error), future studies are required to confirm the observed relationships in bigger cohorts. Second, due
to the cross-sectional study design, it is also unclear whether the joint loads observed in these patients just prior to TKA reflect knee loads that also occur during earlier stages of the disease and that may have influenced the resultant bone microarchitecture observed within this study. Certainly, walking speed in our end-stage OA patients, which is known to affect the magnitude of peak knee moments\textsuperscript{19}, was slower (almost halved, 0.70 ms\textsuperscript{-1}) than reported in patients with less severe OA (1.1-1.3 ms\textsuperscript{-1})\textsuperscript{3,13,47}. Moreover, we cannot exclude in the present sample, that other factors, apart from loading, including age, genetics, or the local biochemical environment in the presence of bone sclerosis (Table 2), affect subchondral bone metabolism\textsuperscript{55}. Hence, we could not determine whether the revealed relationships between joint loading and STB microarchitecture are present in the earlier stages of the disease, or within non-pathological joints. Micro-CT cannot currently be applied in vivo on human knees for characterisation of STB microarchitecture, thus this study was restricted to patients who underwent TKA due to knee OA. However, recent high-resolution peripheral quantitative CT (HR-pQCT) imaging systems, permitting in vivo examination of proximal tibial STB microarchitecture with 61µm voxel size\textsuperscript{56}, may in future be employed to examine the above relationships, using the image analysis methods described herein, in early OA and non-pathological joints. HR-pQCT may also be useful for examining whether longitudinal changes in STB microarchitecture can be explained by baseline measures of joint loading. Moreover, we did not study articular cartilage morphology, for example cartilage thickness, which is important in load transfer across the tibiofemoral joint. Lastly, variations in radiographic disease severity (mild to severe) and knee joint alignment (varus to valgus) could also be drivers of associations between joint loading indices and bone microarchitecture observed herein; the former suggested by previously published literature\textsuperscript{13}, the latter (varus to valgus) suggested by our subgroup analysis (Supplementary Materials), for which we acknowledge the small sample size. As medial and lateral OA may represent distinct disease phenotypes\textsuperscript{57}, the investigation of each subgroup of appropriate sample size in future is warranted.

The strength of this study is the combination of 3D micro-CT and gait analysis, on the same patient. This permits examination of the STB microarchitecture in specific subregions of the proximal tibial plateau, where microarchitectural differences with OA are most evident, combining them with in vivo
measures of joint loading of the same subject. Moreover, as the micro-CT examination was performed on entire tibial plateaus without coring, specimens are preserved intact for further examination\textsuperscript{16}.

Concluding, although not definitive in light of the small sample size, this study in end-stage knee OA patients suggests that dynamic and static indices of knee joint loading are significantly associated with regional variations in 3D subchondral trabecular bone microarchitecture. These novel findings may contribute to a better understanding of the distribution of joint loads upon the tibial plateau and its possible links with bone microarchitecture in late stage OA. Future work may confirm these in a bigger cohort and elucidate, if present, causative links between joint loading and STB microarchitectural changes, to identify potential biomechanical factors that may be targets for surgical or non-invasive therapies.

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Author contributions

BCR contributed to data acquisition, study design, data analysis and interpretation, graphical representation, manuscript drafting. LBS, DT and EP contributed to the study design, data acquisition and interpretation, manuscript drafting, critical revision of this manuscript and sourced funding for this project. GM and KJR were involved in study design, interpretation of data and critical revision of this manuscript and sourced funding for this project. All authors approved the final version of the manuscript to be published. BCR, DT and EP take full responsibility for the integrity of this work as a
whole, from inception to finished article

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Conflict of interest

None

References


**Figure 1** Average external knee moments and standard deviation (shaded area) over the stance phase of the gait cycle for all knee OA patients (n = 25). Reported peak knee moments are highlighted: KFM: knee flexion moment, KEM: knee extension moment, KAM$_1$, KAM$_2$: first and second peak knee adduction moments, ERM: external rotation moment, IRM: internal rotation moment.

**Figure 2** (a) 3D micro-CT image of an excised tibial plateau from a right knee (view from top). The ellipses defining the medial and lateral tibial condyles are shown (dashed lines), containing the location of the four subvolumes of interest (VOIs, as indicated by red circles) in the anterior-medial (AM), anterior-lateral (AL), posterior-medial (PM) and posterior-lateral (PL) compartments; (b) 2D coronal micro-CT cross-section image of the tibial plateau with medial and lateral boundaries of the ellipses indicated by red lines. The location of the subchondral trabecular AM and AL VOIs are indicated; (c,d) 3D micro-CT images of the cylindrical subchondral trabecular bone VOIs examined (10 mm diameter, 3 mm length), (c) specimen from the AM subregion showing high BV/TV and plate-like microarchitecture (BV/TV= 42%, SMI= 0.4); (d) specimen from the AL subregion showing low BV/TV and mainly rod-like microarchitecture (BV/TV= 13%, SMI= 2.2).

**Figure 3** Univariate scatter plots reporting values of 3D subchondral trabecular bone morphometric parameters in the four subregions of interest within the proximal tibial plateau, for all OA patients (n = 25). Mean and standard deviation (error bars) indicated. AM: anterior-medial, AL: anterior-lateral, PM: posterior-medial, PL: posterior-lateral, BV/TV: bone volume fraction, SMI: structure model index, Tb.Th: trabecular thickness, Tb.N: trabecular number, Tb.Sp: trabecular separation. Significant differences among the regions are indicated by lines (p < 0.05, paired t-test with Bonferroni adjustment).

**Figure 5** Scatter plot with best fit line (solid line) and 95% confidence interval (dashed line) for Pearson’s correlations: (a) “AM BV/TV vs. ERM”, (b) “PL BV/TV vs. MAD”, (c) “M:L BV/TV ratio vs. ERM” and (d) “M:L BV/TV ratio vs. MAD”, for all OA patients (n = 25).
**Table 1** Summary of physical characteristics and gait parameters of total knee arthroplasty patients (n = 25)

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age (years)</td>
<td>68 ± 7</td>
</tr>
<tr>
<td>Gender (male:females)</td>
<td>11:14</td>
</tr>
<tr>
<td>Affected limb (right:left)</td>
<td>13:12</td>
</tr>
<tr>
<td>Height (m)</td>
<td>1.66 ± 0.09</td>
</tr>
<tr>
<td>Body mass (kg)</td>
<td>91.6 ± 18.0</td>
</tr>
<tr>
<td>BMI (kg/m²)</td>
<td>32.9 ± 4.4</td>
</tr>
<tr>
<td>WOMAC (total)</td>
<td>56 ± 13</td>
</tr>
<tr>
<td>Pain</td>
<td>12 ± 2</td>
</tr>
<tr>
<td>Stiffness</td>
<td>6 ± 1</td>
</tr>
<tr>
<td>Function</td>
<td>39 ± 12</td>
</tr>
<tr>
<td>Walking Speed (m/s)</td>
<td>0.70 ± 0.25</td>
</tr>
</tbody>
</table>

**Knee moments (Nm/kg)**

<table>
<thead>
<tr>
<th>Moment</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Knee Flexion Moment, KFM</td>
<td>0.35 ± 0.23</td>
</tr>
<tr>
<td>Knee Extension Moment, KEM</td>
<td>-0.11 ± 0.29</td>
</tr>
<tr>
<td>First peak adduction moment, KAM₁</td>
<td>-0.40 ± 0.23</td>
</tr>
<tr>
<td>Second peak adduction moment, KAM₂</td>
<td>-0.39 ± 0.22</td>
</tr>
<tr>
<td>Knee adduction moment impulse</td>
<td>27.0 ± 14.2</td>
</tr>
<tr>
<td>External Rotation Moment, ERM</td>
<td>0.022 ± 0.023</td>
</tr>
<tr>
<td>Internal Rotation Moment, IRM</td>
<td>-0.085 ± 0.079</td>
</tr>
<tr>
<td>Joint reaction force (BW)</td>
<td>3.02 ± 0.96</td>
</tr>
</tbody>
</table>

**Static Alignment**

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mechanical Axis Deviation (mm)</td>
<td>9.2 ± 34.8</td>
</tr>
<tr>
<td>Medial Proximal Tibial Angle (°)</td>
<td>90.1 ± 2.7</td>
</tr>
</tbody>
</table>

Average ± standard deviation. BW, bodyweights
Table 3 Summary of multiple linear regression analysis, for prediction of AM BV/TV and M:L BV/TV ratio

<table>
<thead>
<tr>
<th>Dependent Var.</th>
<th>Model</th>
<th>Unadj. R²</th>
<th>Adj. R²</th>
<th>ΔR²</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>AM BV/TV</td>
<td>MAD, KAM₁</td>
<td>0.285</td>
<td>0.206</td>
<td>0.049</td>
<td></td>
</tr>
<tr>
<td></td>
<td>MAD, KAM₁, ERM</td>
<td>0.546</td>
<td>0.466</td>
<td>0.261*</td>
<td>0.003</td>
</tr>
<tr>
<td></td>
<td>WS, MAD, KAM₁</td>
<td>0.371</td>
<td>0.266</td>
<td>0.036</td>
<td></td>
</tr>
<tr>
<td></td>
<td>WS, MAD, KAM₁, ERM</td>
<td>0.668</td>
<td>0.590</td>
<td>0.297*</td>
<td>0.001</td>
</tr>
<tr>
<td>M:L BV/TV Ratio</td>
<td>MAD, KAM₁</td>
<td>0.588</td>
<td>0.529</td>
<td>0.001</td>
<td></td>
</tr>
<tr>
<td></td>
<td>MAD, KAM₁, ERM</td>
<td>0.738</td>
<td>0.692</td>
<td>0.108*</td>
<td>&lt;0.0005</td>
</tr>
</tbody>
</table>

The external rotation moment (ERM), which was most strongly associated with the dependent variables, was forward entered into the regression models. Variables that influence the medial-to-lateral distribution (MAD, KAM₁) and/or medial condyle forces (WS, MAD, KAM₁) were input as covariates. *significant F-change, indicating ERM significantly improves prediction.

Table 2  Summary of knee radiographic features of all end-stage OA patients (n = 25)

<table>
<thead>
<tr>
<th>Kellgren-Lawrence Grade</th>
<th>Grade</th>
<th>Number of subjects</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>7</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>14</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>OARSI atlas radiographic features</th>
<th>Score</th>
<th>Medial condyle</th>
<th>Lateral Condyle</th>
</tr>
</thead>
<tbody>
<tr>
<td>Osteophyte</td>
<td>0</td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>1</td>
<td>13</td>
<td>14</td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>6</td>
<td>8</td>
</tr>
<tr>
<td></td>
<td>3</td>
<td>4</td>
<td>0</td>
</tr>
<tr>
<td>Joint space narrowing</td>
<td>0</td>
<td>3</td>
<td>14</td>
</tr>
<tr>
<td></td>
<td>1</td>
<td>5</td>
<td>6</td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>6</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>3</td>
<td>11</td>
<td>2</td>
</tr>
<tr>
<td>Bone sclerosis</td>
<td>Present</td>
<td>13</td>
<td>6</td>
</tr>
<tr>
<td></td>
<td>Absent</td>
<td>12</td>
<td>19</td>
</tr>
</tbody>
</table>

OA: osteoarthritis; OARSI: Osteoarthritis Research Society International. All 13 patients exhibiting medial condyle bone sclerosis had varus-aligned joints (MAD >15 mm), whereas for the 6 patients with lateral sclerosis, 5 were valgus-aligned (MAD <0 mm) and one neutrally-aligned (MAD 0 - 15 mm).
(a) Medial-lateral width of ellipse defining condylar region

(b) Outer margin of tibial tubercles

(c)

(d) 10mm